

08/16/01
JC867 U.S. PTO

GRAYCARY. TECHNOLOGY'S LEGAL EDGESM

4365 Executive Drive, Suite 1600
San Diego, CA 92121-2189
www.graycary.com

O] 858-638-6747
F] 858-677-1465

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

| | | |
|--|-----------------------------------|--|
| Attorney Docket No. | LIGHT2260 | C/M # 2101501-165432 |
| First Inventor or Application Identifier: | CHI WU | |
| Title: | FORMATION OF AN OPTICAL COMPONENT | |
| Express Mail Label No.: | EL617043222US | |
| Application Elements (See MPEP chapter 600 concerning utility patent application contents) | | ADDRESS TO: Assistant Commissioner For Patents BOX PATENT APPLICATION Washington, D.C. 20231 |
| <p>1. <input type="checkbox"/> Fee Transmittal Form (Submit an original, & duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>29</u>] (preferred arrangement set forth below)</p> <ul style="list-style-type: none">• Descriptive title of the Invention• Cross References to Related Applications• Statement Regarding Fed sponsored R&D• Background of the Invention• Brief Summary of the Invention• Brief Description of the Drawings (if filed)• Detailed Description• Claim(s)• Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets <u>8</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)</p> <p>i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement [Power Of Attorney] (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure [Copies of IDS] Statement (IDS/PTO 1449) Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment (___ pgs.)</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Express Mail Certification</p> <p>17. <input type="checkbox"/> OTHER: Check # _____ (\$ _____)</p> |
| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-In-Part of prior application no.: <u>09/845,093</u> Prior application information: Examiner: _____ Group/Art Unit: _____ | | |
| 18. CORRESPONDENCE ADDRESS | | |
| <p><input type="checkbox"/> Customer Number (25548) Or Bar Code Label</p> <p>OR</p> <p><input type="checkbox"/> Correspondence Address Below</p> | | |
| NAME | | ATTN: Terrance A. Meador GRAY CARY WARE & FREIDENRICH |
| ADDRESS | | 4365 Executive Drive, Suite 1600 San Diego, CA 92121-2189 |
| Telephone: 858/638-6747 | | General Fax No.: 858-677-1477 Patent Group Fax No.: 858/677-1465 |
| Name (print/type) | Terrance A. Meador | Registration No.: 30,298 (Attorney/Agent) |
| Signature | <i>Terrance A. Meador</i> | Date: 16 August 2001 |

11046 U.S. PTO
09/932253
08/16/01

08-20-01

A

No Fee

JC867 U.S. PTO
08/16/01

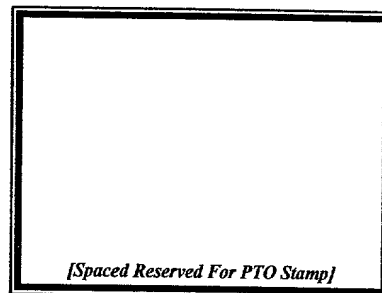
PATENT ATTORNEY DOCKET NO.: LIGHT2260 **DATE MAILED: 16 AUGUST 2001**

The Patent and Trademark Office date stamp sets forth the receipt date of:

Applicant or Patentee: CHI WU **Filing Date:** Herewith
Serial No.: Unknown
Title: FORMATION OF AN OPTICAL COMPONENT

UTILITY PATENT APPLICATION

1. Utility Patent Application Transmittal Form (1 pg);
2. Utility Patent Specification (29 pgs);
3. Drawings (8 Sheets);
4. Declaration for Patent Application (3 pgs) (unsigned);
5. Express Mail Certification; and
6. Return Postcard



Atty/Sec Initials: TAM/meg

Client/Matter No.: 2101501-165432

Date Due: N/A

"EXPRESS MAIL" MAILING LABEL NO. EL617043222US

DATE OF DEPOSIT: 16 AUGUST 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

MIKHAIL BAYLEY

NAME

Mikhail Bayley

SIGNATURE